

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DeWitt, Jr. et al

Serial No.: 10/675,778

Filed: September 30, 2003

For: Method and Apparatus for
Counting Data Accesses and
Instruction Executions that Exceed a
Threshold

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER



§
§
§
§
§
§

Group Art Unit: 2183

Examiner: Chan, Eddie P.

Attorney Docket No.: AUS920030480US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

By:

Louise Fay

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AW-AX; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Duke W. Yee".

Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DeWitt, Jr. et al.

Serial No: **10/675,778**

Filed: **September 30, 2003**

Title: **Method and Apparatus for Counting
Data Accesses and Instruction Executions
that Exceed a Threshold**

Group Art Unit: **2183**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

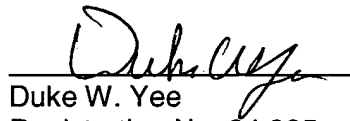
While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,


Duke W. Yee
Registration No. 34,285
Yee & Associates, P.C.
P.O. Box 802333
Dallas, Texas 75380
Telephone: (972) 385-8777

Substitute for form 1449/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Application Number **10/675,778**
 Filing Date **09/30/2003**
 First Named Inventor **DeWitt, Jr. et al.**
 Examiner Name **Chan, Eddie P.**
 Art Unit **2183**
 Attorney Docket No. **AUS920030480US1**

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	4,034,353	07-1977	Denny et al.	
AB	4,821,178	04-1989	Levin et al.	
AC	5,151,981	09-1992	Westcott et al.	
AD	5,581,482	12-1996	Wiedenman et al.	
AE	5,594,864	01-1997	Trauben	
AF	5,691,920	11-1997	Levine et al.	
AG	5,758,168	05-1998	Mealey et al.	
AH	6,480,966	11-2002	Rawson, III	
AI	2003/0135720	07-2003	DeWitt et al.	
AJ	5,926,640	07-1999	Mason et al.	
AK	6,185,652	02-2001	Shek et al.	
AL	4,145,735	03-1979	Soga	
AM	5,548,762	08-1996	Creedon et al.	
AN	4,794,472	12-1988	Doyama	
AO	6,161,187	12-2000	Mason et al.	
AP	3,707,725	12-1972	Dellheim	
AQ	6,408,386	06-2002	Hammond et al.	
AR	6,775,728	08-2004	Zimmer et al.	
AS	6,757,771	06-2004	Christie	
AT	6,148,321	11-2000	Hammond	
AU	6,275,893	08-2001	Bonola	
AV	4,825,359	04-1989	Ohkami et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AW	Mano, "Computer System Architecture", Prentice-Hall, Inc., 2 nd Ed., 1982, pp.434-443.
AX	Inoue, "Digital mobile communication system designed for nationwide police activities - WIDE system", IEEEExplore, October 1996, pp. 1-2.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.